Dear Vice-Chancellor/Principal,

In discussion following the enunciation of the National Education Policy 1986, and revision of teachers' pay-scale, it was announced that a system of Performance Appraisal of College and University teachers would be evolved in discussion with teachers' organisations. The stipulation was that the system should be open, participatory and data-based.

The Commission, therefore, set up a task force, with participation of AIFUCTO (All India Federation of University and College Teachers' Organisations), for this purpose. The guidelines based on the report of this task force prepared after a number of meetings were considered and adopted by the Commission in its meeting on 4th November 1988; these are sent herewith for your perusal and adoption.

With regards,

Yours sincerely,
(Yash Pal)
The National Policy on Education, 1986 envisages the development of a system of appraisal of performance of teachers which is open participatory and data-based. The Mehrotra Committee Report on revision of pay scales of teachers in Universities and Colleges has emphasized the need for developing a satisfactory system of evaluation of teachers which will help their career development by securing feedback for self improvement.

Keeping the above recommendations in view, the UGC appointed a Task Force to prepare guidelines for introducing a system of performance appraisal of teachers in Universities and colleges. The list of the members of the Task Force is given in Appendix I.

The Task Force held four meetings in August, 1987, March, April and September, 1988. After very careful and detailed deliberations on all issues, the Task Force developed a system of evaluation of the performance of teachers. The Task Force has also designed formats for the guidance of the institutions in this regard. The formats designed by the Task Force are presented in Appendices II & III. The Task Force recognises that at present no systematic evaluation of performance of teachers is in vogue in a large number of institutions. It is, therefore, important that introduction of a system of evaluation would need the involvement of the teacher community and the institutions.

In this context, the Task Force appreciates the efforts made by the AIFUCTO in organising regional seminars to evolve appropriate methods of performance evaluation of teachers. The Task Force hopes that similar initiatives will be taken by universities, colleges and other organisations. The Task Force recognises that after some experience is gained, it would be possible to improve the methodology.

The Task Force is of the view that the record of evaluation made by the teachers and verified by the Institutions will be an open document which should be the basis for recognition of excellence in performance as well as for further improving the overall efficiency of the system.
Appendix I

List of Members of the Task Force on performance Appraisal of the Teachers.

1. Shri Kireet Joshi
   10, Talkatura Road
   New Delhi.

2. Prof. G. Padmanabhan
   Department of Bio-Chemistry
   Indian Institute of Science
   Bangalore

3. Prof. V.G. Bhide
   Vice-Chancellor
   Poona University
   Poona

4. Dr. A. Gnanam
   Vice-Chancellor
   Madras University
   Madras-600005.

5. Shri Devendra Kumar
   Vice-Chancellor
   Gandhigram Rural Institute
   Gandhigram
   Madurai- 624302

6. Prof. Irfan Habib
   Chairman
   Indian Council of Historical Research
   35, Feroz Shah Road
   New Delhi.

7. Dr. Sudhir Roy
   President
   AIFUCTO
   Khalbil Para Lane
   P.O. & Distt. Burdwan
   (West Bengal)

8. Dr. Mrinmoy Bhattacharya
   General Secretary
   AIFUCTO
   15, Brindaban Mallik Lane
   Calcutta-700 009.

9. Prof. P.S. Madan
   AIFUCTO, NLC
   8/76, R Block Patna
   Bihar.

10. Shah Kanubhai Anbalani Shah
    Secretary, AIFUCTO
    80/979, Vijay Nagar
    Maran Pura
    Ahmedabad-380 013.

11. Prof. B. Parthasarathy
    Vice President
    AIFUCTO
    11, West Perumal Maistry Street
    Madurai- 625001
    Tamil Nadu

12. Sister K.M. Braganza
    C/O Fr. Jopseph Mina
    St. Joseph’s College
    Torpa, Ranchi Distt.
    Bihar- 835227

13. Sh. J.D. Gupta
    Joint Secretary,
    Ministry of H.R.D.
    Department of Education
    New Delhi

14. Prof. S.K. Khanna
    Secretary
    UGC
Appendix II

PERFORMANCE APPRAISAL REPORT – I*
SELF APPRAISAL

i) General Information
(a) Name
(b) Address (Residential) Ph.No.
(c) Designation
(d) Department
(e) Date of Birth
(f) Area of Specialization

A. Academic Qualifications

<table>
<thead>
<tr>
<th>Exam. Passed</th>
<th>Board/University</th>
<th>Subjects</th>
<th>Year</th>
<th>Division/Grade Merit etc.</th>
</tr>
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<tbody>
<tr>
<td>High School</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Higher Secondary</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Or Pre-degree</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bachelor’s Degree(s)</td>
<td></td>
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</tr>
<tr>
<td>Master’s Degree(s)</td>
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</tr>
<tr>
<td>Research Degree(s)</td>
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</tr>
<tr>
<td>Other Diploma/ Certificates etc.</td>
<td></td>
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<td></td>
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</table>

* teachers already in employment at the time of introduction of the scheme and for new entrants at the time of joining of the institution.

ii) Research Experience & Training

<table>
<thead>
<tr>
<th>Research Stage</th>
<th>Title of work/Theses</th>
<th>University where the Work was carried out</th>
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</thead>
</table>
M.Phil. or equivalent

Ph.D.

Post-Doctoral

Publications (give a list Separately)

Research Guidance (give names of students guided successfully)

Training (Please specify)

B. Research Projects carried out

<table>
<thead>
<tr>
<th>Title of the Project</th>
<th>Name of the Funding Agency</th>
<th>Duration</th>
<th>Remarks</th>
</tr>
</thead>
</table>

C. Seminars, Conferences, Symposia Workshops etc. attended

<table>
<thead>
<tr>
<th>Name of the Seminar/ Conference/Symposia Workshop, etc.</th>
<th>Name of the Sponsoring Agency</th>
<th>Place and Date</th>
</tr>
</thead>
</table>

iii) Teaching Experience

<table>
<thead>
<tr>
<th>Courses Taught</th>
<th>Name of University/ College/Institution</th>
<th>Duration</th>
</tr>
</thead>
</table>
v) PG (M.A./M.Sc., etc)

vi) M.Phil.

vii) Any other

Total Teaching Experience ___________________________________________________

(a) Under-graduate (Pass) : ________________________________________________

(b) Under-graduate (Hons.): ______________________________________________

(c) Post-graduate : ______________________________________________________

viii) Innovations/Contributions in Teaching

(a) Design of Curriculum:

(b) Teaching methods

(c) Laboratory experiments

(d) Evaluation methods

(e) Preparation of resource material
   Including books, reading materials, Laboratory manuals etc.

(f) Remedial Teaching/Student Counseling (academic)

(g) Any Other

ix) Extension Work/Community Service
(a) Please give a short account of your contribution to:

i) Community work such as values of National Integration, secularism, democracy, socialism, Humanism, peace, scientific temper, flood or, drought relief, small family norms etc.

ii) National Literacy Mission

(b) Positions held/Leadership role played in organizations
linked with Extension Work and National Service Scheme (NSS), or NCC or any other similar activity.

D. Participation in Corporate Life:
Please give a short account of your contribution to:

(a) College/University/Institution

(b) Co-curricular Activities

(c) Enrichment of Campus Life
   (Hostels, sports, games, cultural activities)

(d) Students Welfare and Discipline

(e) Membership/Participation in Bodies/Committees on Education and National Development

(f) Professional Organization of Teachers.

E. (a) Membership of Professional Bodies, Societies etc.

(b) Editorship of Journals

F. Any other information.

(Signature of the Teacher)
PERFORMANCE APPRAISAL REPORT II*

SELF APPRAISAL

A. General Information

(a) Name
(b) Date of Birth
(c) Address (Residential) Ph.No.
(d) Designation
(e) Department
(f) Area of Specialization
(g) Date of Appointment
   (i) in the institution
   (ii) in the present post
(h) Honors Conferred

B. Teaching

(a) Classes Taught

| Class        | Assigned per week | Taught in the year | Steps taken for
|--------------|-------------------|--------------------|---------------
|              | L T/P             | L T/P              | The teaching of
|              | **L T/P**         |                    | Periods missed
|              |                   |                    | During absence
|              |                   |                    | Or leave
| (1)          | (2)               | (3)                |

i) U.G. (B.A./B.Sc., Pass)
   (B.A./B.Sc. etc. Hons.)

ii) PG (M.A./M.Sc. etc.)

iii) M.Phil.

iv) Any other

* (To be filled at the end of every academic year)
** L= Lecture  T= Tutorial  P= Practical

(b) Regularity and Punctuality

(c) Details of course teaching plan, synopses of lecturers, and reading lists supplied to students
(d) Details of participation in the following:
   (i) University Education
   (ii) Internal Evaluation
   (iii) Paper Setting
   (iv) Assessment of Home assignments
   (v) Conduct of Examinations
   (vi) Evaluation of Dissertation etc.

C. Details of Innovations/Contribution in Teaching, during the year:
   (a) Design of curriculum;

   (b) Teaching methods

   (c) Laboratory experiments;

   (d) Evaluation methods

   (e) Preparation of resource material including books, reading materials, laboratory manuals etc.

   (f) Remedial Teaching/Student Counseling (academic)

   (g) Any other

D. Improvement of Professional Competence
   (a) Details regarding refresher courses/orientation attended, participation in summer schools, workshops, seminars, symposia etc. including open university courses/M.Phil., Ph.D.

E. Research Contributions:
(a) Number of students (M.Phil./ Ph.D.)

<table>
<thead>
<tr>
<th></th>
<th>At the beginning Of the year</th>
<th>Registered during the year</th>
<th>Completed during the year</th>
</tr>
</thead>
<tbody>
<tr>
<td>M.Phil.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ph.D.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(b) No. of research papers published (please enclose list)

(c) Research Projects

<table>
<thead>
<tr>
<th>Title of the Project</th>
<th>Name of the funding agency</th>
<th>Duration</th>
</tr>
</thead>
</table>

(d) Details of Seminars, Conferences, Symposia organised

(e) Patents taken, if any, give a brief description

(f) Membership of Professional Bodies. Editorship of Journals etc.

F. Extension Work/Community Service

(a) Please give a short account of your contribution to:

(i) Community work such as values of National Integration, secularism, democracy, socialism, humanism, peace, scientific temper, flood or drought relief, small family norms etc.

(ii) National Literacy Mission
(b) Positions held/Leadership role played in organizations linked with Extension work and National Service Scheme (NSS), or NCC or any other similar activity.

G. Participation in Corporate Life:
Please give a short account of your contribution to:

(a) College/University/Institution

(b) Co-Curricular activities

(c) Enrichment of campus life
   (hostels, sports, games, cultural activities)

(d) Students welfare and Discipline

(e) Membership/Participation in Bodies/Committees
   on Education and National Development

(f) Professional Organizations of Teachers.

H. Assessment

(a) Steps taken by you for the evaluation of the course programme taught

I. General Data

State brief assessment of your performance indicating (a) achievements, (b) difficulties faced and (c) suggestions for improvement.
Signature of the Teacher

J. *Verification of factual data.

A. General Information
B. Teaching
C. Details of Innovations/Contribution in teaching, during the year
D. Improvement of Professional competence
E. Research contributions
F. Extension work/community service
G. Participation in Corporate Life

Signature of the Person authorised*

*By a person to be nominated by Principal/Vice-Chancellor